

S/N: 09/371,588



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Atty Dkt No. 99-038-TAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ARCHIBALD W. SMITH

Group Art Unit: 2753

Serial No.: 09/371,588

Examiner: Unknown

Filed: August 10, 1999

For: COMPACT OPTICAL TRACKING SYSTEM FOR MAGNETIC
TAPE

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Attorney Docket No.: 99-038-TAP

**INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form 1449 are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance. The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Statement, i.e., January 24, 2001. A copy of the foreign search report is also enclosed to comply with foreign translations requirements.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8		
I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:		
Feb. 8, 2001 Date of Deposit	Stephanie Klepp Name of Person Signing	 Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

A copy of each reference listed on the attached Form 1449 is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

ARCHIBALD W. SMITH

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Date: February 7, 2001

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